

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
JAMES N. CHEN

Serial No.: UNKNOWN

Filed: HEREWITH

Title: ADAPTIVE RESOURCE MONITORING
AND CONTROLS FOR A COMPUTING
SYSTEM

Group Art Unit:

Examiner:

Conf. No.:

Atty. Dkt.: AUS920031053US1
2300.001800

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

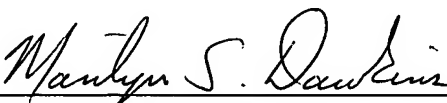
In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,

Date: Feb. 10, 2004


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Form PTO-1449 (modified)		Atty. Docket No. AUS920031053US1 2300.001800	Serial No. UNKNOWN
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant(s) James N. Chen	
		Filing Date: HEREWITH	Group:
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	4,937,743	06/26/1990	Rassman et al.	364	401	09/10/1987
	A2	5,293,487	03/08/1994	Russo et al.	395	200	12/27/1991
	A3	5,432,932	07/11/1995	Chen et al.	395	650	10/23/1992
	A4	5,553,235	09/03/1996	Chen et al.	395	182.18	05/01/1995
	A5	5,655,081	08/05/1997	Bonnell et al.	395	200.32	03/08/1995
	A6	5,684,945	11/04/1997	Chen et al.	395	182.18	04/16/1996
	A7	6,173,323	01/09/2001	Moghe	709	224	12/24/1997
	A8	6,182,022	01/30/2001	Mayle et al.	702	182	01/26/1998
	A9	6,308,208	10/23/2001	Jung et al.	709	224	09/30/1998
	A10	6,516,350	02/04/2003	Lumelsky et al.	709	226	06/17/1999
	A11	6,591,262	07/08/2003	McLellan et al.	707	2	08/01/2000

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	0239279A2	05/16/2002	WO	G06F	11/00	
	B2						
	B3						
	B4						

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Information Disclosure Statement — PTO-1449 (Modified)

Form PTO-1449 (modified)	Atty. Docket No. AUS920031053US1 2300.001800	Serial No. UNKNOWN
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U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Boland, Vernon K.; U.S. Patent Application Publication No. 2001/0003831A1 entitled " <i>Method and Apparatus for Allocating Network Resources and Changing the Allocation Based on Dynamic Workload Changes</i> ", Published June 14, 2001; 10 pages.
	C2	Chen, Jim; " <i>Pathological Performance Recognition & Responses: Keys to Adaptive GRIDs in onDemand Operating Environments</i> "; July 29, 2003 e-Business on Demand Conference, 11 pages.
	C3	Chen, J. N., et al.; " <i>Dynamic Instantiation of Computer System Resource Objects and Dynamic Data Suppliers for Live Monitoring of Computer Systems</i> ", TDB, Vol. 36, No. 09A, September 1993, pages 95-96.
	C4	
	C5	
	C6	
	C7	
	C8	

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